

OBLON, SPIVAK, McCLELLAND, MAIER & NEUSTADT, P.C.

ATTORNEYS AT LAW

FOURTH FLOOR
1755 JEFFERSON DAVIS HIGHWAY
ARLINGTON, VIRGINIA 22202 U.S.A.

(703) 413-3000

(703) 413-2220 FACSIMILE

OBLONPAT@OBLON.COM

WWW.OBLON.COM

PATENT, TRADEMARK AND COPYRIGHT LAW
AND RELATED FEDERAL AND ITC LITIGATION

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, DC 20231

Group Art Unit: 2834

Our Ref: 9847-0001-6X PCT

Re: Inventor: MATS LEIJON ET AL

Serial No: 09/147,325

Filed: FEBRUARY 17, 1999

For: ROTATING ELECTRICAL MACHINE COMPRISING HIGH-
VOLTAGE STATOR WINDING AND ELONGATED SUPPORT
DEVICES SUPPORTING THE WINDING AND METHOD FOR
MANUFACTURING SUCH MACHINE

Sir:

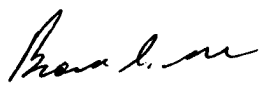
Attached hereto for filing are the following papers:

LETTER
PROPRIETARY ELECTRONIC SEARCH TOOL AGREEMENT
PETITION UNDER 37 C.F.R. §1.182
INFORMATION DISCLOSURE STATEMENT/PTO 1449/LIST OF RELATED CASES
TWO COPIES EACH OF 671 REFERENCES

Our check in the amount of \$ 130.00 is attached covering any required fees. In the event any variance exists between the amount enclosed and the Patent Office charges for filing the above-noted documents, including any fees required under 37 CFR 1.136 for any necessary Extension of Time to make the filing of the attached documents timely, please charge or credit the difference to our Deposit Account No. 15-0030. Further, if these papers are not considered timely filed, then a petition is hereby made under 37 C.F.R. 1.136 for the necessary extension of time. A duplicate of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.


Gregory J. Maier
Attorney of Record
Registration No: 25,599
Bradley D. Lytle
Registration No. 40,073

NORMAN F. OBLON
MARVIN J. SPIVAK
C. IRVIN McCLELLAND
GREGORY J. MAIER
ARTHUR I. NEUSTADT
RICHARD D. KELLY
JAMES D. HAMILTON
ECKHARD H. KUESTERS
ROBERT T. POUS
DAVID J. KERA
CHARLES L. GHOLZ
VINCENT J. SUNDERDICK*
WILLIAM E. BEAUMONT
ROBERT F. GRUSE
JEAN-PAUL LAVALLEY, PH.D.
JEFFREY H. KAUFMAN
BRIAN D. ANDERSON
ROBERTA S. BREN
STEPHEN G. BAXTER, PH.D.
ROBERT W. HAHN, PH.D.
RICHARD L. TREANOR, PH.D.
STEVEN P. WEHROUCH
JOHN T. GOOLKASIAN*
RICHARD L. CHINN, PH.D.
STEVEN E. LIPMAN
CARL E. SCHUER
P. JAY HINES
JAMES J. KULBASKI
RICHARD A. NEFFELD, PH.D.
J. DEREK MASON, PH.D.
SURINDER SACHAR
JONATHAN HUDIS
CHRISTINA M. GADIANO
JEFFREY B. MCINTYRE*
PAUL E. RAUCH, PH.D.
WILLIAM T. ENOS*
MICHAEL E. MCCABE, JR.*

GERALD J. MOSSINGHOFF
MILTON STERMAN
SAMUEL H. BLECH*
JOHN O. TRESANSKY*
ALTON D. ROLLINS
JAMES R. BOLER*
HARRIS A. PITLUCK*
RAYMOND F. CARDILLO, JR.
JORDAN S. WEINSTEIN
MASAYASU MORI*†
FRANK J. WEST*
BRADLEY D. LYTLE
KATHLEEN COONEY-PORTER*
ANDREW M. OLLIS
MARGO LIVESAY, PH.D.*
MICHAEL A. GUILIANA*
CORWIN P. UMBACH, PH.D.
W. TODD BAKER*
DAVID OZURILLA
JOSEPH A. SCAFETTA, JR.
CARLOS R. VILLAMAR
ROBERT C. MATTSOHN
MANDY M. PETROCELLI
EDWIN D. GARLEPP
FREDERICK D. VASTINE, PH.D.*
MICHAEL R. CASEY, PH.D.*
JOHN K. PIKE, PH.D.*
GEORGE F. LESMES*
JAMES J. KELLY, PH.D.*
DAVID A. BILLODEAU*
STAMATIOS MYLONAKIS, PH.D.*
PHILIPPE J.C. SIGNORE, PH.D.*
KEVIN A. NORDBERG*

*BAR MEMBERSHIP OTHER
THAN VIRGINIA
†JAPANESE PATENT ATTORNEY
* REGISTERED PATENT AGENT

RECEIVED
SEP 29 AM 10:31
COPY CENTER - 280

9847-0001-6X PCT
ENKEL 8025

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

IN RE APPLICATION OF :
LEIJON, et al. : EXAMINER:
SERIAL NO. : 09/147,325 :
FILED: February 17,1999 : GROUP ART UNIT:
FOR: ROTATING ELECTRICAL :
MACHINE HAVING HIGH-VOLTAGE
STATOR WINDING AND ELONGATED
SUPPORT DEVICES SUPPORTING THE
WINDING AND METHOD FOR
MANUFACTURING THE SAME

RECEIVED
SEP 29 AM 10:31
TECHNOLOGY CENTER - 2810

PROPRIETARY ELECTRONIC SEARCH TOOL AGREEMENT

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

SIR:

This Agreement is made by and between Asea Brown Boveri (ABB) AB (the "Licensor"), and the United States Patent and Trademark Office (the "Licensee").

Subject to the terms and conditions contained herein, the Licensor grants to the Licensee, a non-exclusive, perpetual license to use the Licensor's Proprietary Electronic Search Tool (the "Electronic Search Tool") specified below and described in Petition Under 37 C.F.R. §1.182, filed herewith in application serial number 09/147,325.

The Electronic Search Tool is hosted on one, or more, compact discs (CD-ROMs) and may be employed on a computer using Louts Notes™ software. The Electronic Search Tool includes scanned copies of various prior art references. Paper copies of these various prior art references were filed in triplicate (two filed herewith, and one previously provided to SPE Nestor Ramirez of Art Unit 2834) in the above-identified patent application. The volume of

prior art references is large since the references are predominately drawn from foreign patent applications that correspond with the present application as well as 200 or more, eventually, related U.S. patent applications, collectively referred to as the "bulk filing applications". The electronic search tool also includes electronic copies of Foreign Search Reports as well as electronic copies of bulk filing applications. The electronic search tool allows for rapid identification, selection and inspection of the references contained therein.

The Electronic Search Tool will be provided by the Licensor in one or more CD-ROMs, and it is the responsibility of the Licensee to provide a computer as well as the Lotus Notes™ software to operate the Electronic Search Tool. The Licensor will provide on a month-by-month basis to the Licensee an updated Electronic Search Tool. Each update will contain the information that was also included in the past months' Electronic Search Tool, as well as newly found references.

For adequate and sufficient consideration of \$1, the Licensor grants to the Licensee the non-exclusive, perpetual license to use the Electronic Search Tool.

The Electronic Search Tool is proprietary to and contains confidential information of the Licensor. Title and ownership rights to the Electronic Search Tool shall remain with the Licensor. The Licensee agrees to maintain the confidential nature of the contents of the Electronic Search Tool for the Licensee's own internal use under this Agreement. The license granted herein does not include the right to sub-license to others, and may not be assigned or transferred to others in whole or in part, without the prior written consent of the Licensor.

The Electronic Search Tool shall be used exclusively by the Licensee and its employees. The Licensee recognizes and acknowledges that the information contained in the Electronic Search Tool is composed of confidential data and is the sole and exclusive property of the Licensor. The Licensee shall not allow others to use or to have access to the Electronic Search Tool, either directly or indirectly, and shall not make or allow others to

make copies or reproductions (except for normal back-ups or for recovery purposes) in any form without the prior written consent of the Licensor. Distribution of the Electronic Search Tool by the Licensee, including derivative modifications or extensions is strictly prohibited. However, to the extent data contained in the Electronic Search Tool is considered when examining one of the bulk filing applications, that data may be printed from the Electronic Search Tool and included in the file for that application.

The Licensee shall inform the Licensor within 10 working days of a request made by a third party to receive access to the Electronic Search Tool, which is half of the 20 working days provided to government agencies for responding to such requests under 5 U.S.C.

§552(a)(6)(A)(i). The Licensee recognizes that the Licensor may wish to participate in defending against the release of the Electronic Search Tool to a third party if that third party initiates a legal proceeding to obtain access to the Electronic Search Tool. If the third party is ultimately successful in obtaining legal access to the Electronic Search Tool, the Licensor reserves the right to redact what the Licensor considers to be confidential information from the Electronic Search Tool prior to releasing the Electronic Search Tool to the third party.

The Licensee may terminate this Agreement upon three months written notice to the Licensor (by way of the Attorney of Record) and the Licensor may terminate this Agreement upon three months of filing a Termination Request in the present patent application and

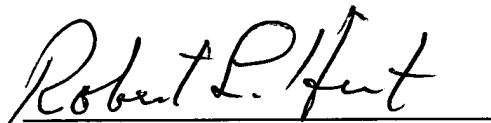
informing by telephone a Director of Technical Center 2800 that the Licensor wishes to terminate the Agreement.

UNITED STATES PATENT AND
TRADEMARK OFFICE

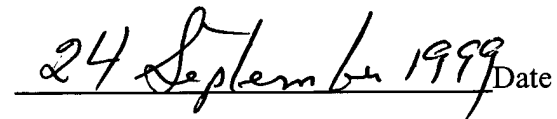
Q. Todd Dickinson
Acting Assistant Secretary of Commerce
and Acting Commissioner of Patents and
Trademarks

Date

ASEA BROWN BOVERI AB



Robert L. Hirt
ABB Group Project Manager in the Americas

Date

I:\atty\BDL\9847\98470001.agreement